

32692

Customer Number

Patent  
Case No.: 58961US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666098 Group Art Unit: 1755

Filed: September 18, 2003 Examiner: Karl E. Group

Title: CERAMICS COMPRISING AL<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub> AND/OR HfO<sub>2</sub>, AND  
Nb<sub>2</sub>O<sub>5</sub> AND/OR Ta<sub>2</sub>O<sub>5</sub> AND METHODS OF MAKING THE SAMESUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTMail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

February 9, 2006

Date

Jessica L. Nolan

Signed by: Jessica L. Nolan

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

February 9, 2006  
Date

By: 

Gregory D. Allen, Reg. No.: 35,048  
Telephone No.: 651-736-0641

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  <b>FEB 13 2006</b> PATENT & TRADEMARK OFFICE	<b>Application Number</b>	<b>10/666098</b>
	<b>Filing Date</b>	<b>September 18, 2003</b>
	<b>First Named Inventor</b>	<b>Rosenflanz, Anatoly Z.</b>
	<b>Art Unit</b>	<b>1755</b>
	<b>Examiner Name</b>	<b>Karl E. Group</b>
	<b>Attorney Case Number</b>	<b>58961US002</b>

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,560,780	03-21-1972	Connelly	
	A2	US- 4,014,122	03-29-1977	Woods	
	A3	US- 4,472,511	09-18-1984	Mennemann et al.	
	A4	US- 4,812,422	03-14-1989	Yuhaku et al.	
	A5	US- 5,071,801	12-10-1991	Bedard et al.	
	A6	US- 5,122,176	06-16-1992	Goettler	
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	A12	US- 6,482,761 B1	11-19-2002	Watanabe et al.	
	A13	US- 2005/0075233 A1	04-07-2005	Weber et al.	

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (If known)			
	B1	JP	3-113428	05-14-1991		Abstract
	B2	JP	5-226733	09-03-1993		Abstract
	B3	KR	9601009	04-12-1993		Abstract
	B4	WO	03/001776 A1	01-03-2003		
	B5					

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		

<b>*Examiner:</b>	<b>Date Considered:</b>
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